IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gregory P. Andrews, et al. : Date: August 31, 2001

Group Art Unit: Unassigned : IBM Corporation

Examiner: Unassigned : Intellectual Property Law

Serial No.: Unassigned : Dept. 917, Bldg. 006-1

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. (Unassigned)
Docket No. ROC9-2001-0123-US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

EXPRESS MAIL CERTIFICATE

Express Mail No. EJ219188135US Date: August 31, 2001

I certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Grant A. Johnson

Respectfully submitted,

GREGORY P. ANDREWS, et al.

Roy W. Truelson

Attorney Reg. No. 34,265 Telephone: 1-507-289-6256

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